

Vote 8

Planning, Monitoring and Evaluation

Adjusted budget summary

R thousand	2016/17			
	Main appropriation	Adjusted appropriation	Decrease	Increase
Amount to be appropriated	827 662	797 662	(30 000)	-
of which:				
Current payments	406 562	382 916	(23 646)	-
Transfers and subsidies	405 766	405 922	-	156
Payments for capital assets	15 334	8 824	(6 510)	-
Executive authority	Minister in the Presidency: Planning, Monitoring and Evaluation			
Accounting officer	Director-General of Planning, Monitoring and Evaluation			
Website address	www.dpme.gov.za			

Vote purpose

Improve government service delivery through planning, monitoring and evaluation.

Mid-year performance status

Indicator	Programme	Outcome	Annual performance		
			Projected for 2016/17 as published in the 2016 ENE	Achieved in the first six months of 2016/17 (April to September)	Changed target for 2016/17
Number of progress reports submitted to Cabinet per outcome per year	Outcomes Monitoring and Evaluation	All outcomes	3	2	-
Number of local government management improvement model scorecards completed per year	Outcomes Monitoring and Evaluation		25	24	-
Number of evaluation reports approved by evaluation steering committees per year	Outcomes Monitoring and Evaluation		8	4	-
Number of assessment reports produced on outcomes data and reporting quality per year	Outcomes Monitoring and Evaluation		1	-1	-
Number of consolidated management performance assessment tool reports submitted to Cabinet per year	Institutional Performance Monitoring and Evaluation	Outcome 12: An efficient, effective and development oriented public service	1	0	-
Number of new service delivery facilities monitored resulting in a site monitoring report per year	Institutional Performance Monitoring and Evaluation		90	56	-
Number of revisited service delivery facilities where improvement monitoring was conducted, per year	Institutional Performance Monitoring and Evaluation		120	36	-
Number of sector research reports on major and cross-cutting macro social implications developed per year	National Planning		3	0	-

1. Indicator removed from the 2016/17 annual performance plan.

Mid-year progress

The department is on track to meet most of its targets.

In the first six months of 2016/17, the department released the wave 4 results of the national income dynamics study and launched wave 5 of the study. The department also carried out research commissioned by the National Planning Commission on the success rates of entrepreneurial activity in townships and rural areas, and the youth labour market transitions.

Due to the overwhelming response from municipalities and the provincial departments of cooperative governance and traditional affairs, a higher than anticipated 24 out of 25 planned local government management improvement assessments were completed in the first six months of 2016/17. The department expects to exceed the target in the third and fourth quarters.

The department has conducted 56 new site visits and revisited 36 sites for improvement monitoring on service delivery, against the planned targets of 90 and 120 on new and revisited sites for the year. The department expects to exceed the initial target of 90 for new service delivery sites visited, due to the monitoring of additional facilities by Offices of the Premier.

Adjusted Estimates of National Expenditure 2016

Programme	2016/17							
	Main appropriation	Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
Roll-overs		Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments			
R thousand								
Administration	134 315	-	-	8 300	(1 563)	-	6 737	141 052
Outcomes Monitoring and Evaluation	108 854	-	-	(2 800)	(14 303)	-	(17 103)	91 751
Institutional Performance Monitoring and Evaluation	67 839	-	-	-	(5 884)	-	(5 884)	61 955
National Planning	103 237	-	-	(5 000)	(6 729)	-	(11 729)	91 508
National Youth Development	413 417	-	-	(500)	(1 521)	-	(2 021)	411 396
Total	827 662	-	-	-	(30 000)	-	(30 000)	797 662
Economic classification								
Current payments	406 562	-	-	6 354	(30 000)	-	(23 646)	382 916
Compensation of employees	246 550	-	-	(55)	(30 000)	-	(30 055)	216 495
Goods and services	160 012	-	-	6 409	-	-	6 409	166 421
Transfers and subsidies	405 766	-	-	156	-	-	156	405 922
Provinces and municipalities	-	-	-	1	-	-	1	1
Departmental agencies and accounts	405 766	-	-	-	-	-	-	405 766
Non-profit institutions	-	-	-	100	-	-	100	100
Households	-	-	-	55	-	-	55	55
Payments for capital assets	15 334	-	-	(6 510)	-	-	(6 510)	8 824
Buildings and other fixed structures	8 117	-	-	(8 000)	-	-	(8 000)	117
Machinery and equipment	6 367	-	-	940	-	-	940	7 307
Software and other intangible assets	850	-	-	550	-	-	550	1 400
Total	827 662	-	-	-	(30 000)	-	(30 000)	797 662

Programme 1: Administration

Subprogramme	2016/17							
	Main appropriation	Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
Roll-overs		Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments			
R thousand								
Departmental Management	13 133	-	-	5 170	(460)	-	4 710	17 843
Corporate and Financial Services	58 136	-	-	(4 211)	(451)	-	(4 662)	53 474
Information Technology Support	26 780	-	-	2 600	(461)	-	2 139	28 919
Internal Audit and Enterprise Risk Management	3 859	-	-	-	(191)	-	(191)	3 668
Ministerial Support	32 407	-	-	4 741	-	-	4 741	37 148
Total	134 315	-	-	8 300	(1 563)	-	6 737	141 052

Programme 1: Administration (continued)

Economic classification	2016/17							
	Main appropriation	Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
		Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments		
R thousand								
Current payments	120 269	–	–	15 328	(1 563)	–	13 765	134 034
Compensation of employees	73 812	–	–	(31)	(1 563)	–	(1 594)	72 218
Goods and services	46 457	–	–	15 359	–	–	15 359	61 816
Transfers and subsidies	–	–	–	32	–	–	32	32
Provinces and municipalities	–	–	–	1	–	–	1	1
Households	–	–	–	31	–	–	31	31
Payments for capital assets	14 046	–	–	(7 060)	–	–	(7 060)	6 986
Buildings and other fixed structures	8 117	–	–	(8 000)	–	–	(8 000)	117
Machinery and equipment	5 929	–	–	940	–	–	940	6 869
Total	134 315	–	–	8 300	(1 563)	–	6 737	141 052

Programme 2: Outcomes Monitoring and Evaluation

Subprogramme	2016/17							
	Main appropriation	Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
		Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments		
R thousand								
Programme Management for Outcomes Monitoring and Evaluation	2 818	–	–	(400)	(1 200)	–	(1 600)	1 218
Outcomes Support	75 129	–	–	2 600	(11 663)	–	(9 063)	66 066
Evaluation and Research	30 907	–	–	(5 000)	(1 440)	–	(6 440)	24 467
Total	108 854	–	–	(2 800)	(14 303)	–	(17 103)	91 751
Economic classification								
Current payments	108 076	–	–	(3 314)	(14 303)	–	(17 617)	90 459
Compensation of employees	80 868	–	–	(14)	(14 303)	–	(14 317)	66 551
Goods and services	27 208	–	–	(3 300)	–	–	(3 300)	23 908
Transfers and subsidies	–	–	–	14	–	–	14	14
Households	–	–	–	14	–	–	14	14
Payments for capital assets	778	–	–	500	–	–	500	1 278
Machinery and equipment	178	–	–	–	–	–	–	178
Software and other intangible assets	600	–	–	500	–	–	500	1 100
Total	108 854	–	–	(2 800)	(14 303)	–	(17 103)	91 751

Programme 3: Institutional Performance Monitoring and Evaluation

Subprogramme	2016/17							
	Main appropriation	Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
		Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments		
R thousand								
Programme Management for Institutional Performance Monitoring and Evaluation	2 525	–	–	470	–	–	470	2 995
Management Performance Monitoring and Support	14 162	–	–	(120)	(2 531)	–	(2 651)	11 511
Presidential Frontline Service Delivery Performance Monitoring and Support	44 399	–	–	(350)	(3 011)	–	(3 361)	41 038
Macro Monitoring and Evaluation Policy and Capacity Building	6 753	–	–	–	(342)	–	(342)	6 411
Total	67 839	–	–	–	(5 884)	–	(5 884)	61 955

Programme 3: Institutional Performance Monitoring and Evaluation (continued)

Economic classification		2016/17						
		Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
R thousand	Main appropriation	Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments		
Current payments	67 459	-	-	(60)	(5 884)	-	(5 944)	61 515
Compensation of employees	47 845	-	-	(10)	(5 884)	-	(5 894)	41 951
Goods and services	19 614	-	-	(50)	-	-	(50)	19 564
Transfers and subsidies	-	-	-	10	-	-	10	10
Households	-	-	-	10	-	-	10	10
Payments for capital assets	380	-	-	50	-	-	50	430
Machinery and equipment	130	-	-	-	-	-	-	130
Software and other intangible assets	250	-	-	50	-	-	50	300
Total	67 839	-	-	-	(5 884)	-	(5 884)	61 955

Programme 4: National Planning

Subprogramme		2016/17						
		Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
R thousand	Main appropriation	Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments		
Programme Management for National Planning	19 180	-	-	-	(461)	-	(461)	18 719
Research and Policy Services	70 580	-	-	(5 000)	(1 440)	-	(6 440)	64 140
Government Performance Information	13 477	-	-	-	(4 828)	-	(4 828)	8 649
Total	103 237	-	-	(5 000)	(6 729)	-	(11 729)	91 508
Economic classification								
Current payments	103 137	-	-	(5 100)	(6 729)	-	(11 829)	91 308
Compensation of employees	39 772	-	-	-	(6 729)	-	(6 729)	33 043
Goods and services	63 365	-	-	(5 100)	-	-	(5 100)	58 265
Transfers and subsidies	-	-	-	100	-	-	100	100
Non-profit institutions	-	-	-	100	-	-	100	100
Payments for capital assets	100	-	-	-	-	-	-	100
Machinery and equipment	100	-	-	-	-	-	-	100
Total	103 237	-	-	(5 000)	(6 729)	-	(11 729)	91 508

Programme 5: National Youth Development

Subprogramme		2016/17						
		Adjustments appropriation					Total adjustments appropriation	Adjusted appropriation
R thousand	Main appropriation	Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments		
Youth Development	7 651	-	-	(500)	(1 521)	-	(2 021)	5 630
National Youth Development Agency	405 766	-	-	-	-	-	-	405 766
Total	413 417	-	-	(500)	(1 521)	-	(2 021)	411 396
Economic classification								
Current payments	7 621	-	-	(500)	(1 521)	-	(2 021)	5 600
Compensation of employees	4 253	-	-	-	(1 521)	-	(1 521)	2 732
Goods and services	3 368	-	-	(500)	-	-	(500)	2 868
Transfers and subsidies	405 766	-	-	-	-	-	-	405 766
Departmental agencies and accounts	405 766	-	-	-	-	-	-	405 766
Payments for capital assets	30	-	-	-	-	-	-	30
Machinery and equipment	30	-	-	-	-	-	-	30
Total	413 417	-	-	(500)	(1 521)	-	(2 021)	411 396

Details of adjustments to the Estimates of National Expenditure 2016

Virements and shifts within votes

FROM:			TO:		
Programme by economic classification	Motivation	R thousand	Programme by economic classification	Motivation	R thousand
Programme 1		(9 062)	Programme 1		1 000
Machinery and equipment	Lower than anticipated expenditure on building refurbishment due to delays in procurement ²	(1 000)	Goods and services	IT system upgrades	1 000
	Lower than anticipated expenditure on photocopying machines ²	(30)	Programme 3		30
			Software and other intangible assets	IT system upgrades	30
Buildings and other fixed structures	Lower than anticipated expenditure on building refurbishment due to delays in procurement ²	(3 110)	Programme 1		5 080
	Lower than anticipated expenditure on building refurbishment due to delays in procurement	(1 970)	Goods and services	IT system upgrades	3 110
				Travel and communications	
			Machinery and equipment	Furniture and office equipment for the new building	1 970
				Purchase of official vehicle for the deputy minister	
			Programme 2		2 600
	Lower than anticipated expenditure on building refurbishment due to delays in procurement ²	(2 100)	Goods and services	Travel, and business and advisory services	2 100
	Lower than anticipated expenditure on building refurbishment due to delays in procurement	(500)	Software and other intangible assets	IT system upgrades	500
			Programme 3		320
	Lower than anticipated expenditure on building refurbishment due to delays in procurement ²	(300)	Goods and services	Travel for frontline and service delivery monitoring trips	300
	Lower than anticipated expenditure on building refurbishment due to delays in procurement	(20)	Software and other intangible assets	IT system upgrades	20
Compensation of employees	Vacant posts ¹	(31)	Programme 1		32
			Households	Leave gratuities	31
Goods and services	Reduced spending on travel and subsistence	(1)	Provinces and municipalities	Vehicle licences	1
Shifts within the programme as a percentage of the programme budget		4.6%			
Virements to other programmes as a percentage of the programme budget		2.2%			
Programme 2		(5 414)	Programme 2		14
Compensation of employees	Vacant posts ¹	(14)	Households	Leave gratuities	14
			Programme 1		5 400
Goods and services	Reduced spending on consultants	(5 400)	Goods and services	New computer server and ICT software	5 400
				Operating leases, property payments, advertising and job evaluations due to the revised organisational structure	
Shifts within the programme as a percentage of the programme budget		0.0%			
Virements to other programmes as a percentage of the programme budget		5.0%			

FROM:			TO:		
Programme by economic classification	Motivation	R thousand	Programme by economic classification	Motivation	R thousand
Programme 3		(360)	Programme 3		10
Compensation of employees	Vacant posts ¹	(10)	Households	Leave gratuities	10
Goods and services	Reduced spending on computer services	(350)	Programme 1		350
			Goods and services	Building leases, property payments, advertising and job evaluations due to the revised organisational structure	350
Shifts within the programme as a percentage of the programme budget		0.0%			
Virements to other programmes as a percentage of the programme budget		0.5%			
Programme 4		(5 100)	Programme 1		5 000
Goods and services	Reduced spending on business and advisory consultants	(5 000)	Goods and services	Building leases, property payments advertising and job evaluation linked to the revised organisational structure	5 000
				Communication services due to changes in the communication strategy of the National Development Plan	
	Reduced spending on business and advisory consultants	(100)	Programme 4		100
			Non-profit institutions	Donation to the South African Planning Institute ¹	100
Shifts within the programme as a percentage of the programme budget		0.1%			
Virements to other programmes as a percentage of the programme budget		4.8%			
Programme 5		(500)	Programme 1		500
Goods and services	Reduced spending on membership contributions and subscriptions to the Commonwealth Youth Programme	(500)	Goods and services	Communications unit	500
Shifts within the programme as a percentage of the programme budget		0.0%			
Virements to other programmes as a percentage of the programme budget		0.1%			
Total		(20 436)			20 436

1. National Treasury approval has been obtained.

2. Only the legislature may approve this virement in terms of the Public Finance Management Act (Act 1 of 1999).

Declared unspent funds – R30 million

R30 million in unspent funds has been declared on compensation of employees due to vacancies that cannot be filled owing to delays in implementing the revised organisational structure.

Programme 1: Administration

R1.563 million

Programme 2: Outcomes, Monitoring and Evaluation

R14.303 million

Programme 3: Institutional Performance Monitoring and Evaluation

R5.884 million

Programme 4: National Planning

R6.729 million

Programme 5: National Youth Development

R1.521 million

Gifts, donations and sponsorships – R100 000

The department made a donation of R100 000 to the South African Planning Institute as a contribution towards the hosting of the 7th Planning Africa Conference.

Expenditure outcome for 2015/16 and actual expenditure for 2016/17

Programme	2015/16 Audited outcome					2016/17 Actual expenditure				
	Adjusted appropriation	Apr 15 - Sep 15	adjusted appropriation % of	Apr 15 - Mar 16	adjusted appropriation % of	Adjusted appropriation	Adjusted appropriation/ Total (%)	Apr 16 - Sep 16	adjusted appropriation % of	
R thousand										
Administration	104 185	31 787	30.5	111 872	107.4	141 052	17.7	59 177	42.0	
Outcomes Monitoring and Evaluation	92 324	36 068	39.1	89 560	97.0	91 751	11.5	41 561	45.3	
Institutional Performance Monitoring and Evaluation	60 431	27 555	45.6	60 626	100.3	61 955	7.8	30 389	49.1	
National Planning	82 197	40 610	49.4	70 736	86.1	91 508	11.5	59 114	64.6	
National Youth Development	415 063	216 614	52.2	415 983	100.2	411 396	51.6	243 709	59.2	
Total	754 200	352 634	46.8	748 777	99.3	797 662	100.0	433 950	54.4	
Economic classification										
Current payments	340 861	136 056	39.9	324 964	95.3	382 916	48.0	191 121	49.9	
Compensation of employees	192 264	77 236	40.2	176 910	92.0	216 495	27.1	99 586	46.0	
Goods and services	148 597	58 820	39.6	148 054	99.6	166 421	20.9	91 535	55.0	
Transfers and subsidies	409 930	215 569	52.6	410 062	100.0	405 922	50.9	240 165	59.2	
Provinces and municipalities	6	2	33.3	2	33.3	1	-	-	-	
Departmental agencies and accounts	409 789	215 429	52.6	409 789	100.0	405 766	50.9	240 000	59.1	
Non-profit institutions	-	-	-	-	-	100	-	100	100.0	
Households	135	138	102.2	271	200.7	55	-	65	118.2	
Payments for capital assets	3 409	1 009	29.6	13 751	403.4	8 824	1.1	2 663	30.2	
Buildings and other fixed structures	-	395	-	435	-	117	-	-	-	
Machinery and equipment	2 909	614	21.1	11 892	408.8	7 307	0.9	2 338	32.0	
Software and other intangible assets	500	-	-	1 424	284.8	1 400	0.2	325	23.2	
Payments for financial assets	-	-	-	-	-	-	-	1	-	
Total	754 200	352 634	46.8	748 777	99.3	797 662	100.0	433 950	54.4	

Expenditure trends for the first six months of 2016/17

Total expenditure in 2015/16 was 99.3 per cent of the 2015/16 adjusted appropriations. Expenditure in the first six months of 2016/17 was R434 million, or 54.4 per cent of the adjusted appropriation of R797.7 million for the year. In comparison, mid-year expenditure in 2015/16 was R352.6 million, or 46.8 per cent of the 2015/16 adjusted appropriation. Compared to the first six months of 2015/16, expenditure over the same period in 2016/17 increased by R81.3 million, or 23.1 per cent. This was mainly due to the increase in transfers to the National Youth Development Agency to support the agency's operational plans and transfer of the ministerial function in 2015/16 from The Presidency to the Department of Planning, Monitoring and Evaluation.

Departmental receipts

R thousand	2015/16					2016/17				
	Adjusted estimate	Audited outcome				Budget estimate	Actual receipts			
		Apr 15 - Sep 15	Apr 15 - Sep 15 % of adjusted estimate	Apr 15 - Mar 16	Apr 15 - Mar 16 % of adjusted estimate		Adjusted estimate	Adjusted receipts estimate/ Total (%)	Apr 16 - Sep 16	Apr 16 - Sep 16 % of adjusted estimate
Departmental receipts	266	189	71.1	417	156.8	143	602	100.0	426	70.8
Sales of goods and services produced by department	72	34	47.2	88	122.2	77	88	14.6	40	45.5
Interest, dividends and rent on land	6	3	50.0	42	700.0	6	40	6.6	17	42.5
Sales of capital assets	-	-	-	16	-	-	30	5.0	16	53.3
Transactions in financial assets and liabilities	188	152	80.9	271	144.1	60	444	73.8	353	79.5
Total	266	189	71.1	417	156.8	143	602	100.0	426	70.8

Revenue trends for the first six months of 2016/17

Revenue in the first six months of 2016/17 was R426 000, or 70.8 per cent of the adjusted revenue estimates of R602 000 for the year. In comparison, mid-year revenue in 2015/16 was R189 000, or 71.1 per cent of the adjusted estimate. Compared to the first six months of 2015/16, revenue over the same period in 2016/17 increased by R237 000 or 125.4 per cent. This was mainly due to the recovery of the past years' travel expenses.

Changes to transfers and subsidies

Summary of changes to transfers and subsidies per programme

R thousand	Main appropriation	2016/17						Total adjustments appropriation	Adjusted appropriation
		Roll-overs	Unforeseeable/unavoidable	Virements and shifts	Declared unspent funds	Other adjustments	Adjustments appropriation		
Administration									
Provinces and municipalities									
Municipalities									
Municipal bank accounts									
Current	-	-	-	1	-	-	1	1	
Municipal services	-	-	-	1	-	-	1	1	
Households									
Social benefits									
Current	-	-	-	31	-	-	31	31	
Employee social benefits	-	-	-	31	-	-	31	31	
Outcomes Monitoring and Evaluation									
Households									
Social benefits									
Current	-	-	-	14	-	-	14	14	
Employee social benefits	-	-	-	14	-	-	14	14	
Institutional Performance									
Monitoring and Evaluation									
Households									
Social benefits									
Current	-	-	-	10	-	-	10	10	
Employee social benefits	-	-	-	10	-	-	10	10	
National Planning									
Non-profit institutions									
Current	-	-	-	100	-	-	100	100	
South African Planning Institute	-	-	-	100	-	-	100	100	